



**MANHATTAN FINANCIAL GROUP, INC.
BROKER APPROVAL APPLICATION**

APPLICATION CHECKLIST

- This application, with all relevant details completely filled out, signed and dated, including the authorization.
- Broker's license, NMLS printout, exemption, registration forms, professional licenses, etc. showing fictitious business name or "DBA" and all branches.
- Resumes for all owners, principals, and management exerting any control over the loan file process.
- IRS Form W-9, completed, signed and dated.
- Financial statements dated within the last 3 months (both Income Statement and Balance Sheet if applicable, statement of Cash Flows) signed by a principal.
- Names and full addresses and phone numbers of 3 lenders you are currently brokering loans to.
- E&O insurance information and copy of declaration page, including contact information.
- Quality Control Plan and procedures.
- FHA/VA approval if applicable.
- Corporations and Limited Liability Companies must include a resolution of the board of directors or members authorizing the person who signs this application Broker Agreement to do so.
- Articles of Incorporation, if applicable.
- Broker Compensation form, completed, signed and dated.
- AIR (Appraisal Independence Requirements)

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Legal Name of Firm: _____
(Must be EXACTLY the same as shown on license, exemption or registration form)

Firm NMLS # _____

DBA: _____

Address: _____

Telephone: _____ FAX: _____

Email Address: _____ Web Site Address: _____

Primary Contact: _____ FEIN # or SSN # _____

Date business began: _____ State(s): _____

Manhattan Financial Group Account Executive: _____

NOTE: You must attach the address, phone and Fax for each branch you want Manhattan Financial Group, Inc. to consider for approval. You must also include branch licensing and NMLS # information as appropriate.

Identify the legal form of your business by checking one of the boxes below:

- Corporation Limited Liability Company General Partnership
 Limited Liability Partnership Sole Proprietor Bank Charter

Date Incorporated _____

Full name of all owners (100% ownership must be shown, use additional sheets if required):

			Broker of Record		
Name _____	Title _____	<input type="checkbox"/>	% Owned _____		
Name _____	Title _____	<input type="checkbox"/>	% Owned _____		
Name _____	Title _____	<input type="checkbox"/>	% Owned _____		
Name _____	Title _____	<input type="checkbox"/>	% Owned _____		

Your company must have an internal quality control system to insure the authenticity of the packaging in your loan submissions. Does your company follow and have a written internal quality control plan? YES NO

Is the broker of record or other responsible person under your company's licensing requirements involved in the day-to-day business? YES NO

If you answer "YES" to any of the questions below, attach a detailed explanation.

Does your company maintain Errors & Omissions insurance (if yes, attach the dec. page) YES NO

Has your company, its principals, or officers, been named as a defendant in a lawsuit alleging fraud or misrepresentation? YES NO

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- Has your company, its principals, or officers, been named as defendant in a criminal proceeding/complaint/conviction for alleged fraud or misrepresentation? YES NO
- Has your company ever been involved, or is it currently involved in any litigation? YES NO
- Has your company ever been suspended from brokering, selling, or servicing mortgages? YES NO
- Has your company, its principals, or officers, ever had a broker, lender, or other professional license suspended or revoked, or received any other disciplinary action from a regulatory agency? YES NO
- Has your company, its principals, or officers, ever received any disciplinary action or regulatory sanction for violations of fair lender, fair housing, anti-discrimination laws, or unfair business practices?
 YES NO
- Has any lender enforced, or attempted to enforce the Hold Harmless, Indemnification, or Repurchase clauses in their correspondent or broker agreement with your company, its principals, or officers? YES NO
- Are you affiliated in any way with an escrow company, credit bureau, appraisal company, or any settlement service provider? YES NO

Your Application can not be approved without all the items listed on the attached application checklist.

Please mail in the original signed agreements, application, financial statements, and all attached forms to our Broker Approval Department.

Submission of this application does not guaranty approval to broker loans with Manhattan Financial Group, Inc. This application will be approved or denied within the sole discretion of Manhattan Financial Group, Inc.

We certify that the information contained in this Application is true, correct, currently valid, genuine, and free from misrepresentation and authorize Manhattan Financial Group, Inc. to verify this information at any time through any source including (but not limited to) MARI and Interthinx and additionally authorize Manhattan Financial Group, Inc. to obtain a background check and credit report on each owner, principal, and broker of record. All Owners/Principals and Broker of record must sign application.

_____ Signature of Owner / Principal / Broker	_____ Name	_____ Date
_____ Signature of Owner / Principal / Broker	_____ Name	_____ Date
_____ Signature of Owner / Principal / Broker	_____ Name	_____ Date
_____ Signature of Owner / Principal / Broker	_____ Name	_____ Date

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Principals and Management

Name _____ Phone # _____
Title _____ Percent Owned _____ DOB _____
Address _____
City _____ State _____ Zip _____
Email _____ SSN # _____
NMLS # _____

Name _____ Phone # _____
Title _____ Percent Owned _____ DOB _____
Address _____
City _____ State _____ Zip _____
Email _____ SSN # _____
NMLS # _____

Name _____ Phone # _____
Title _____ Percent Owned _____ DOB _____
Address _____
City _____ State _____ Zip _____
Email _____ SSN # _____
NMLS # _____

Name _____ Phone # _____
Title _____ Percent Owned _____ DOB _____
Address _____
City _____ State _____ Zip _____
Email _____ SSN # _____
NMLS # _____

Name _____ Phone # _____
Title _____ Percent Owned _____ DOB _____
Address _____
City _____ State _____ Zip _____
Email _____ SSN # _____
NMLS # _____

**MANHATTAN FINANCIAL GROUP, INC.
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Branch Offices:

Branch Name _____ NMLS # _____

Phone # _____ Fax # _____

Branch Manager _____ NMLS # _____

Address _____

City _____ State _____ Zip _____

Branch Name _____ NMLS # _____

Phone # _____ Fax # _____

Branch Manager _____ NMLS # _____

Address _____

City _____ State _____ Zip _____

Branch Name _____ NMLS # _____

Phone # _____ Fax # _____

Branch Manager _____ NMLS # _____

Address _____

City _____ State _____ Zip _____

Branch Name _____ NMLS # _____

Phone # _____ Fax # _____

Branch Manager _____ NMLS # _____

Address _____

City _____ State _____ Zip _____

Branch Name _____ NMLS # _____

Phone # _____ Fax # _____

Branch Manager _____ NMLS # _____

Address _____

City _____ State _____ Zip _____

Branch Name _____ NMLS # _____

Phone # _____ Fax # _____

Branch Manager _____ NMLS # _____

Address _____

City _____ State _____ Zip _____

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Loan Officers at this firm: use this form or provide another list with this information

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

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Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

Name _____ Branch Location _____

Title _____ DOB _____ NMLS # _____

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Processor(s) that you use, internal and any outside company

Company _____
Name _____
Address _____
City _____ State _____ Zip _____
Phone _____

Company _____
Name _____
Address _____
City _____ State _____ Zip _____
Phone _____

Company _____
Name _____
Address _____
City _____ State _____ Zip _____
Phone _____

Company _____
Name _____
Address _____
City _____ State _____ Zip _____
Phone _____

Company _____
Name _____
Address _____
City _____ State _____ Zip _____
Phone _____

**MANHATTAN FINANCIAL GROUP, INC.
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Closing Agents that you use

Company _____
Address _____
City _____ State _____ Zip _____
Name _____
Phone _____

Company _____
Address _____
City _____ State _____ Zip _____
Name _____
Phone _____

Company _____
Address _____
City _____ State _____ Zip _____
Name _____
Phone _____

Company _____
Address _____
City _____ State _____ Zip _____
Name _____
Phone _____

Company _____
Address _____
City _____ State _____ Zip _____
Name _____
Phone _____

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Lender References:

Lender _____

Phone _____

Fax _____

Email _____

Address _____

City _____ State _____ Zip _____

Acc. # _____ Contact _____

Lender _____

Phone _____

Fax _____

Email _____

Address _____

City _____ State _____ Zip _____

Acc. # _____ Contact _____

Lender _____

Phone _____

Fax _____

Email _____

Address _____

City _____ State _____ Zip _____

Acc. # _____ Contact _____